SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and van Es C		eporting Person <sup>*</sup>	Requiring (Month/Da	2. Date of Event Requiring Statement (Month/Day/Year) 10/21/2021 3. Issuer Name <b>and</b> Ticker or Trading Symbol Vita Coco Company, Inc. [ COCO ]							
(Last) 250 PARK FLOOR	(First) AVENUE	(Middle) SOUTH, 7TH			4. Relationship of Reportin Issuer (Check all applicable) Director	10% Owner		File	<ul><li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li><li>6. Individual or Joint/Group Filing</li></ul>		
(Street) NEW NY 10003 YORK		_		X Officer (give title below) Chief Sales	Other (specify below) Officer		(Ch	(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) GD or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
E			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Conver or Exer	cise	5. Ownership Form:	Ownership (Instr.	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)		
Stock Option (Right to Buy)		(1)	12/16/2029	Common Stock	129,675	10.178		D			
Stock Option (Right to Buy)		(2)	02/10/2030	Common Stock	113,750	10.178		D			
Stock Option (Right to Buy)		(3)	01/11/2031	Common Stock	18,200	10.178		D			
Stock Options (Right to Buy)		(4)	10/20/2031	Common Stock	58,043	15 D		D			

**Explanation of Responses:** 

1. The stock option is fully vested and currently exercisable with respect to 106,925 underlying shares. The remainder will vest in two equal installments on November 1, 2021 and August 1, 2022, respectively.

2. The stock option vests in two equal biennial installments beginning on February 10, 2022.

3. The stock option vests in two equal biennial installments beginning on January 11, 2023.

4. The stock option vests in four equal annual installments beginning on November 27, 2022.

## **Remarks:**

Exhibit 24 - Power of Attorney.

<u>/s/ Yolanda Goettsch,</u> <u>Attorney-in-fact</u>

10/21/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

With respect to holdings of and transactions in securities issued by The Vita Coco Company, Inc. (the "Company"), the undersigned hereby constitutes and appoints Yolanda Goettsch, Michael Kirban, Martin Roper, and Kevin Benmoussa, or any of them signing singly, with full power of substitution and resubstitution, to act as the undersigned's true and lawful attorney-in-fact to:

- execute for and on behalf of the undersigned, Schedules 13D and 13G in accordance with Section 13 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and the rules thereunder, and Forms 3, 4, and 5 in accordance with Section 16 of the Exchange Act and the rules thereunder;
- 2. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Schedule 13D or 13G or Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such schedule or form with the SEC and any stock exchange or similar authority; and
- 3. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution and resubstitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorneys-in-fact substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 13 and Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Schedule 13D and 13G and Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 19th day of August, 2021.

/s/ Charles van Es Charles van Es